



A subsidiary of Axos Financial™

General Inquiries: (402) 384-6100  
ACAT Inquiries: (402) 384-2050  
Axos BIC Code OOCUS41  
Axos EIN 549300HI1HI1GZBKYG51

Settlement Inquiries: (402) 384-6110  
Mutual Fund Inquiries (402) 384-2047

DTCC Eligible Security deliveries to: DTCC Broker 0052  
FBO - client name and Axos Clearing Account Number

DTCC Envelope System deliveries to: NSCC 0052  
FBO - client name and Axos Clearing Account Number

Canadian Securities deliveries to: Northern Trust Custodian  
For Canadian settlement:  
CUID: NTCT A/C 1737168  
For other local market settlement: Please send an e-mail to [Foreign@axosclearing.com](mailto:Foreign@axosclearing.com) or call 402-384-6110 to obtain specific SSIs.

Euroclear Instructions: Euroclear # 18724 BIC Swift OOCUS41  
Notification is required for all trade settlement or free deliveries. Please send an e-mail to [Foreign@axosclearing.com](mailto:Foreign@axosclearing.com) or call 402-384-6110.

Option Free Deliveries to: OCC Axos Clearing #052 – For Account Transfers please call 402-384-2050 to coordinate delivery

Liquidation Checks for: Non-retirement or Retirement  
Make check payable to: Axos Clearing  
FBO - client name and Axos Clearing Account Number  
Mail check direct to: 15950 West Dodge Road, Suite 300  
Omaha, NE 68118

Mutual Fund deliveries for Non-ACAT, Network Eligible:  
Axos Clearing  
FBO Bin # (Client number)  
15950 West Dodge Road, Suite 300  
Omaha, NE 68118  
Axos Clearing holds both Level 3 and Omnibus positions. Axos Clearing will need the cusip and the Axos Clearing Account Number for the incoming transfer in order to provide fund account numbers. Please send an e-mail to the Mutual Fund department via [WAM@axosclearing.com](mailto:WAM@axosclearing.com) or call 402-384-2047 for account information.

Book Entry Government deliveries to: ABA 071000288  
(Bills, Notes, Bonds, BMO Harris Bank NA/1040/1013029446  
FBO Axos Clearing Account Number  
Strips, FNMA, GNMA, FHLMC etc.)  
GSCC Comparison Only: 9686

Limited Partnership DPP or REIT deliveries:  
Reregister to IRA's ONLY: AXOS CLEARING CUST  
FBO: Client Name and Axos Clearing account number  
15950 West Dodge Road, Suite 300  
Omaha, NE 68118

Physical Security deposits mail to: Axos Clearing  
15950 West Dodge Road, Suite 300  
Omaha, NE 68118

Money Wire Instructions BMO Harris Bank  
ABA #071000288  
Swift: HATRUS44  
111 West Monroe Street  
Chicago IL 60690  
Account Name – Axos Clearing  
Acct #3174109  
FBO – Client name and Account Number

Prime Broker ID Institution #94321 Agent ID# 94321  
Executing Broker ID Institution #80155 Agent ID# 80155  
Please send Prime Broker inquiries to [Prime@axosclearing.com](mailto:Prime@axosclearing.com)

DVP Trade ID Institution #89751 Agent ID# 89751  
Please send DVP inquiries to [NewDVP@axosclearing.com](mailto:NewDVP@axosclearing.com)