Ni Advisors, Inc.

1138 Cadillac Ct. Milpitas, CA 95035 Tel: 510.306.7777 Fax: 510.339.9988

Client Acknowledgement

I or we,_____________________________________, have acknowledged that I or we have received the following documents from my or our Financial Advisor, ______________________________at Ni Advisors, Inc.

- ☐ Relationship Summary
- ☐ Privacy Statement
- ☐ Business Continuity Plan
- ☐ Mutual Fund Analyzer Report / Share Classes Explanation
- ☐ Mutual Fund Breakpoint Discount Statement (Class A Only)
- ☐ Variable Annuity Multi Share Classes Explanation
- ☐ Structured Product Disclosure and Acknowledgment Form
- ☐ 401K Rollover Disclosure from 401K Plan to IRA
- ☐ IRA Rollover-Transfer Disclosure and Questionnaire
- ☐ Customer Certification / Entity Name: ______________________________
- ☐ Private Placement Memorandums / Prospectuses of

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This Transaction is ☐ Solicited ☐ Unsolicited

____________________ ____________ __________________ ____________
Signature Date Joint Signature Date