

LIMITED LIQUIDITY EXCESS GUIDELINE LETTER

INVESTMENT NAME: _____

Offering Dated: _____

I wish to invest \$ _____ in investment name: _____.
This is either (1) more than 10% of my investible net worth or (2) means I will have more than 30% of my investible net worth in limited liquidity investments like investment name: _____, or (3) both. I acknowledge that Ni Advisors does not recommend that I purchase this high a percentage of limited liquidity investments. I wish nonetheless to purchase more because I do not need more liquidity in my investments than I presently have given my age, employment security, insurance coverage, expense expectations, and other factors I have considered in assessing my future need for liquidity. I recognize that diversification is desirable to reduce risk and volatility and accept that risk in making this investment in the amount requested.

Dated: _____

Client's Signature

Print Name

Ni Advisors Inc. representative

Print Name

Ni Advisors Inc. principal

Print Name